#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Judy Lieberman et al.

Confirmation No.:

9795

Application No.: Filed:

10/577,814 April 28, 2006 Group No.: Examiner:

To be assigned To be assigned

Customer No.:

50828

For: METHODS FOR TREATING AND PREVENTING ISCHEMIA-REPERFUSION INJURY USING RNA INTERFERING AGENTS

CERTIFICATE OF EXPRESS MAILING UNDER (37 C.F.R. § 1.10(c))

I hereby certify that this correspondence, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as Express Mail Label No. EV 652 981 234 US in an envelope addressed to MALL-STOP PCT, Commissioner of Patents, Box 1450,

Alexandria, VA 22313-1450.

Date: May <u>3</u>, 2007

Megan L. Stembridge

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# REQUEST AND FEE TO CORRECT INVENTORSHIP IN NON-PROVISIONAL APPLICATION UNDER 37 C.F.R. 1.48 (A)

Dear Sir.

Pursuant to 37 C.F.R. § 1.48(a), applicants hereby request that the inventorship of the above identified application, which is a 371 National Stage Entry of PCT/US04/36200, be corrected to add Erwei Song of Guang Dong, China, as a co-inventor of the above identified application. At the time of the PCT filing of the application, Erwei Song was erroneously omitted as a co-inventor. As set forth in the attached Statement of Erwei Song, such error arose without deceptive intent.

This request is additionally accompanied by a combined declaration from inventors: Judy Lieberman, Péter Hamar, and Erwei Song, and a written consent of the assignee (The CBR Institute for Biomedical Research, Inc.) to the correction of inventorship and a supplemental Application Data Sheet which has Erwei Song as a co-inventor.

Attorney Docket No.: 033393-055222

In re application of:

Judy Lieberman et al.

Application No.: Filed:

10/577,814 April 28, 2006 Confirmation No.:

9795

Group No.: Examiner: To be assigned To be assigned

Customer No.:

50828

For: METHODS FOR TREATING AND PREVENTING ISCHEMIA-REPERFUSION INJURY USING RNA

**INTERFERING AGENTS** 

Enclosed is the \$130.00 processing fee required under 37 C.F.R. 1.17(i). Additional fees may be charged to deposit account 50-0850.

If the Examiner has any questions with this communication, the Examiner is invited to telephone the undersigned at (617) 345-1367.

Date: May \_\_\_\_\_\_\_\_, 2007

Respectfully submitted,

David S. Resnick (Reg. No. 34,235)

Leena H. Karttunen (L0207) NIXON PEABODY LLP

100 Summer Street

Boston, MA 02131

Telephone: (617) 345-6057/1367

Facsimile: (617) 345-1300

#### Practitioner's Docket No. 033393-055222-US

RECEIVED

APR 3 0 2007

**PATENT** 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NIXON PEABODY LLP

In re application of: Application No.: Judy Lieberman et al.

10/577,814

Filed: April 28, 2006

Confimration No.: 9795

Group No.: To be assigned Examiner: To be assigned

Customer No.: 50828

For: METHODS FOR TREATING AND PREVENTING ISCHEMIA-REPERFYSION

USING RNA INTERFERING AGENTS

5/3/07

STATEMENT OF FACTS UNDER 37 C.F.R. §1.48(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I, Erwei Song, one of the joint inventors of the above-identified patent application and its parent application PCT/US04/36200, declare and say:

The error in inventorship in the above-identified patent application and its parent application PCT/US04/36200, namely, the omission of myself as being a named inventor, occurred without deceptive intention on my part.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: Apr 27, 200)

Erwei Song

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Judy Lieberman et al.

Confimration No.:

9795

Application No.: Filed:

10/577,814 April 28, 2006 Group No.: Examiner:

To be assigned To be assigned

Customer No.:

50828

For: METHODS FOR TREATING AND PREVENTING ISCHEMIA-REPERFUSION INJURY USING RNA INTERFERING AGENTS

#### CERTIFICATE OF MAILING 37 C.E.R. 1.8

I hereby certify that this correspondence is being deposited with the United States Postal

Service with sufficient postage as First Class Mail in an envelope addressed to: MAIL STOP PCTCommissioner of Patents, Box 1450, Alexandria, VA 22313-1450 on the date indicated

helnur

Date: 5/3/07

Majar

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **CONSENT OF ASSIGNEE UNDER 37 C.F.R. § 1.48(a)**

Dear Sir / Madam,

The undersigned, Assignee of the entire interest in the above-identified patent application, hereby consents to the amendment of the application to add Erwei Song as a co-inventor.

Date: 4/11/07

The CBR Institute for Biomedical Research, Inc.

Title

office of Technology Development

NIXON PEABODY LLP 100 Summer Street Boston, Massachusetts 02110-2131

Attorney's Docket No. 033393-055222

Page 1 of 4

#### **DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed at 201) below or an original, first and joint inventor (if plural names are listed at 201-208 below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHODS FOR TREATING AND PREVENTING ISCHEMIA=REPERFUSION INJURY USING RNA INTERFERING AGENTS

which is described and claimed in:

- 2. B the specification in U.S. Application Serial Number 10/577,814, filed on April 28, 2006;
- I have reviewed the preliminary amendment filed concurrently with the specification which is part of the application as filed;

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign/P	Prior Foreign/PCT Applications and Any Priority Claims Under 35 U.S.C. §119:							
Application No.	Filing Date	Country	Priority Claimed under 35 U.S.C. §1197					
			□YES □NO					
			□YES □NO					
			DYES DNO					
			DYES DNO					

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

NIXON PEABODY LLP 100 Summer Street Boston, Massachusetts 02110-2131



Page 2 of 4

Prior U.S. Applic	ations o	r PCT	International Appl under 35 U.S	_	nating the U.	S-Benefit
!	U.S. Applications				itus (Check C	ne)
Application Serial No.		ţ	J.S. Filing Date	Patented	Pending	Abandoned
PCT Application	ns Desig	gnatin	g the U.S.		1	
Application No.	Fillng I	Date	U.S. Serial No. Assigned			
PCT/US2004/036200	11/01/2	2004				

# CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. §119(e))

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

<u>Applicant</u>	Provisional Application Number	Filing Date
Lieberman et al.	60/516,172	10/30/2003

**POWER OF ATTORNEY**: As a named inventor, I hereby appoint the following attorney(s) with full powers of association, substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Ronald I. Eisenstein	(Reg. No. 30,628) D	avid S. Resnick	(Reg. No. 34,235)	Michael L. Goldman	(Reg. No. 30.727)
Leena Karttunen	(37 CFR Sec. 10.9(b)) G	kunnar G. Leinberg	(Reg. No. 35,584)		(
Edwin V. Merkel	(Reg. No. 40,087) Jo	oseph Noto	(Reg. No. 32,163)		

SEND CORRESPONDENCE TO: David S. Resnick	DIRECT TELEPHONE CALLS TO:
NIXON PEABODY LLP	David S. Resnick
100 Summer Street	(617) 345-6057
Boston, Massachusetts 02110-2131	



NIXON PEABODY LLP 100 Summer Street Boston, Massachusetts 02110-2131



Page 3 of 4

	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME JUDY	MIDDLE NAME
2 0 1	RESIDENC E & CITIZENSHI P	CITY Brookline	STATE OR FOREIGN COUNTRY MA	COUNTRY OF CITIZENSHIP US
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE
		63 Buckminster	Brookline	MA 02445

		HAMAR	FIRST NAME PÉTER	MIDDLE NAME
2 0 2	RESIDENCE & CITIZENSHI P	CITY Budapest	STATE OR FOREIGN COUNTRY HU	COUNTRY OF CITIZENSHIP HU
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE
		Szalmás Piroska u 2/b	Budapest	H-1068

	OF INVENTOR	LAST NAME SONG	FIRST NAME  ERWEI	MIDDLE NAME
2 0 3	RESIDENC E & CITIZENSHI P	GUANGZHOU	STATE OR FOREIGN COUNTRY GUANG DONG	COUNTRY OF CITIZENSHIP P. R. CHINA
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE
		107 YANJIANG WEST RD. SUN-YAT-SEN UNIVERSITY	GUANGZHOU	GD 510120

Attorney's Docket No. 033393-055222

NIXON PEABODY LLP 100 Summer Street Boston, Massachusetts 02110-2131

Page 4 of 4

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code. and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signature of Inventor 201 (LIEBERMAN)	Date:  Dec. 6, 2006
Signature of Inventor 202 (HAMAR)	Date:
Hour Pek	Dec. 20, 2006
Signature of Inventor 203 (SONG)	Date:
Erbe: Song	Dec. 26, 2006

PTO/SB/14 (08-05)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1		CED 4 74	Attorney I	Docket N	umber	033393-055222			
Application Data	oneet 3/	UFK 1./(	Application	n Numbe	er	10/577,814			
LITTLE OF INVENTION I	ETHOD OF		AND PREVENT	TING ISCH	IEMIA-RE	PERFU	SION INJUI	RY USING RNA	
The application data sheet is bibliographic data arranged in This document may be condocument may be printed an	in a format spen pleted electro	ecified by the onically and s	United States Parus ubmitted to the (	tent and Tra	ademark Of	ffice as ou	utlined in 37 (	CFR 1.76.	
Secrecy Order 3	7 CFR 5	5.2							
Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)									
Applicant Inform	nation:								
Applicant 1									
Applicant Authority	Inventor	OLegal R	tepresentative u	under 35 l	U.S.C. 117	7	Party of Ir	terest under 35 U.S.	C. 118
Prefix Given Name	<b>.</b>		Middle Name	•		Famil	y Name		Suffix
Judy						Lieber	man		
Residence Information	on (Select	One) 💿	JS Residency	O No	on US Res	sidency	Activ	e US Military Service	
City Brookline		Sta	te/Province	MA	Country	y of Re	sidence i	US	
Citizenship under 37	CFR 1.41(	b)i US		-					
Mailing Address of A	pplicant:								
Address 1	63 Buck	minster Roa	d						
Address 2								<del></del>	
City Brookline	<u></u>			Stat	te/Provin	ice	MA		
Postal Code	02445			Countryi	US				<u>-</u>
Applicant 2					<u> </u>				
Applicant Authority (	nventor	◯Legal F	Representative (	under 35 l	U.S.C. 11	7 (	Party of Ir	terest under 35 U.S.	C. 118
Prefix Given Name			Middle Name			Family Name			Suffix
Péter							Hamar		-
Residence Informati	on (Select	One)	US Residency	( No	on US Res	sidency	O Activ	e US Military Service	<u></u>
City Budapest		Cou	untry Of Resi	dencei	HU				
Citizenship under 37	CFR 1.41(	<b>b)</b> i HU							
Mailing Address of A	pplicant:								
Address 1	Szalmás	Piroska u 2	2/b						
Address 2									
City Budapest		<u>.</u>		Stat	te/Provin	ICO			
Postal Code	H-1068			Countryi	HU				
Applicant 3	<b>.</b>		<del></del>		- <del>1</del>				
Applicant Authority								nterest under 35 U.S.	C. 118
Application ()							_		
Prefix Given Name			Middle Name	9	·	Famil	y Name		Suffix
Prefix Given Name  Erwei	• Interitor	Osoguii	Middle Name	9		Famil Song	y Name		Suffix
			Middle Name		on US Res	Song		e US Military Service	

PTO/SB/14 (08-05)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number		033393-055222					
Application bata officer of C. 1.70			Application Number		10/5	10/577,814			
Title of Invention	Title of Invention METHOD OF TREATING AND PREVENTING ISCHEMIA-REPERFUSION INJURY USING RNA INTERFERING AGENT								
Citizenship under	37 CFR	1.41(b) i	CN			·			
Mailing Address o	f Applic	cant:							
Address 1	1	07 Yanjiang	West Roa	ad, Sun-Y	at-Sen Un	versity			
Address 2	G	Guangzhou							
City Guang	Dong				S	ate/Provi	nce		
Postal Code		3D-510120			Countr				
All Inventors Must generated within the					nformatio	n blocks	may be	Add	
Corresponder	ice In	formation	on:						
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An Address is	s being	provided f	or the c	orrespoi	ndence li	formation	of this	application.	
Customer Number	r	50828							
Email Address								Add Email	Remove Email
Application In	forma	ation:							
Title of the Invent	ion	METHOD INTERFER			D PREVE	NTING ISC	HEMIA-RI	EPERFUSION INJU	RY USING RNA
Attorney Docket N	lumber	033393-05	55222			Small En	tity Stat	us Claimed 🔽	
Application Type		Nonprovis	ional						
Subject Matter		Utility		_					
Suggested Class	(if any)					Sub Clas	s (if any	<u>'</u>	
Suggested Techn	ology C	enter (if an	ıy)						
Total Number of D	rawing	Sheets (if	any)	9		Suggest	ed Figur	e for Publication	(if any)
Publication Inform	nation:			,					
Request Early	Publica	ation (Fee re	equired a	t time of	Request	37 CFR 1.	219)		
and certify that	at the in	vention disc	losed in	the attac	hed appli	cation has	not beer	oublished under 35 n and will not be th uires publication at	e subject of an
Representative information in the Enter either Cus are completed the Cu	mation se Applica	should be pr ition Data She Number o	rovided foet does	not constituted the constitution of the consti	tute a power	er of attorne entative	y in the a Name s	pplication (see 37 Cl section below. If	FR 1.32).
Please Select One	: [	<ul><li>Custome</li></ul>	er Numbe	er O	US Pate	t Practition	er C	) US Representativ	e (37 CFR 11.9)

PTO/SB/14 (08-05)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	033393-055222		
	ita Sileet St CFR 1.70	Application Number	10/577,814		
Title of Invention METHOD OF TREATING AND PREVENTING ISCHEMIA-REPERFUSION INJURY USING RNA INTERFERING AGENT					
Customer Number	50828				

### **Domestic Priority Information:**

This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a) (4), and need not otherwise be made part of the specification.

Prior Application Status			Remove.
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
	a 371 of international	PCT/US2004/036200	2004-11-01
Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
PCT/US2004/036200	non provisional of	60/516172	2003-10-30

Additional Domestic Priority Data may be generated within this form by selecting the **Add** button.

## **Foreign Priority Information:**

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

		R	emove
Application Number	Country i	Parent Filing Date (YYYY-MM-DD)	Priority Claimed
			● Yes ○ No

#### **Assignee Information:**

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.					
Assignee 1					
If the Assignee is an O	rganization check here.	Z			
Organization Name The CBR Institute for Biomedical Research, Inc.					
Mailing Address Info	mation:				
Address 1	800 Huntington Avenue				
Address 2					
City	Boston	State/Province	MA		
Country   US		Postal Code	02115		
Phone Number	617-278-3000	Fax Number	617-278-3493		
Email Address		*			
Additional Assignee D button.	ata may be generated within	this form by selecting the Ad	d		

PTO/SB/14 (08-05)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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1	Application Data Sheet 37 CFR 1.76		Attorney Docket Number	033393-055222
	Application Data Siles	La Sileet 37 OF K 1.70	Application Number	10/577,814
	Title of Invention METHOD OF TREATING AND PREVENTING ISCHEMIA-REPEINTERFERING AGENT		EPERFUSION INJURY USING RNA	

### Signature:

	Leena H.		Karttunen		L0207
First Name	David S.	Last Name	Resnick	Registration Number	34235
Signature	De	est	ell	Date (YYYY-MM-DD)	2007-01-12
A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.					

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.